



August 2, 2010  
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Arnold Schwarzenegger  
Governor

Mr. John Solis, Executive Director  
San Joaquin County Employment and  
Economic Development Department  
56 South Lincoln Street  
Stockton, CA 95203

Dear Mr. Solis:

AMERICAN RECOVERY AND REINVESTMENT ACT  
PROGRAM REVIEW  
FINAL MONITORING REPORT  
PROGRAM YEAR 2009-10

This is to inform you of the results of our review for Program Year (PY) 2009-10 of the San Joaquin County Employment and Economic Development Department's (EEDD) activities funded by the American Recovery and Reinvestment Act of 2009 (ARRA). We focused this review on the following areas: program administration, local level monitoring, management information system/reporting, incident reporting, nondiscrimination and equal opportunity, grievance and complaint system, and program operations including ARRA activities and participant eligibility.

This review was conducted by Mr. TG Akins from January 20, 2010 through January 21, 2010 and February 1, 2010 through February 2, 2010.

Our review was conducted under the authority of Sections 667.400 (a) and (c) and 667.410 of Title 20 of the Code of Federal Regulations (20 CFR). The purpose of this review was to determine the level of compliance by EEDD with applicable federal and state laws, regulations, policies, and directives related to the ARRA grant.

We collected the information for this report through interviews with EEDD representatives and service provider staff. In addition, this report includes the results of our review of sampled case files, EEDD's response to Sections I and II of the ARRA Program On-Site Monitoring Guide, and a review of applicable policies and procedures for PY 2009-10.

## **BACKGROUND**

For PY 2009-10, EEDD was allocated: \$1,959,619 to serve adult participants; \$4,788,879 to serve youth participants; and \$3,626,983 to serve dislocated worker participants.

For the quarter ending September 30, 2009, EEDD reported the following expenditures for its ARRA funded activities: \$111,578 for adult participants; \$3,286,158 for youth participants; and \$258,822 for dislocated worker participants. In addition, EEDD reported the following enrollments: 209 adult participants; 1,279 summer youth participants; and 263 dislocated worker participants. We reviewed case files for 40 of the 1,751 participants enrolled in ARRA funded activities as of January 20, 2010.

## **PROGRAM REVIEW RESULTS**

We conclude that, overall, EEDD is meeting applicable ARRA requirements concerning its program operations.

This report contains no findings or concerns; therefore, we are issuing this report as the final report.

Because the methodology for our monitoring review included sample testing, this report is not a comprehensive assessment of all of the areas included in our review. It is EEDD's responsibility to ensure that its systems, programs, and related activities comply with the WIA grant program, federal and state regulations, and applicable state directives. Therefore, any deficiencies identified in subsequent reviews, such as an audit, would remain EEDD's responsibility.

Please extend our appreciation to your staff for their cooperation and assistance during our review. If you have any questions regarding this report or the review that was conducted, please contact Mrs. Jennifer Shane at (916) 654-1292.

Sincerely,



JESSIE MAR, Chief  
Compliance Monitoring Section  
Compliance Review Office

cc: Ronnie Armstrong, MIC 50  
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